

**PATENT** 

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of	)	Examiner: Unassigned
HOWES, et al.	)	Art Unit: 2663
Application No. 09/954,507	)	,
Application No. 09/954,507	)	Atty Docket No.: CISCP041C1
Filed: September 12, 2001	)	
	)	January 15, 2002
For: METHOD AND APPARATUS FOR	)	
MAINTAINING CONNECTION STATE BETWEEN	)	
A CONNECTION MANAGER AND A FAILOVER	)	
DEVICE	)	
	)	

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Application Processing Division's Gustomer Correction Branch, Washington, DC 20231 on January

REQUEST FOR A CORRECTED FILING RECEIPT RECEIVED

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

FEB 1 3 2002

**Technology Center 2600** 

Sir:

Enclosed is a copy of the Official Filing Receipt we received for the above-identified patent application. Please make the indicated corrections and reprint an updated Filing Receipt for the above-identified patent application and forward it to the undersigned at the address set out below.

Andrew L. Foss, San Jose, CA

If any additional fees are due in connection with this request, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0685 (Order No. CISCP041C1).

Respectfully submitted,

VAN PELT & YI LLP

Vullean D. Domes

William J. James Reg. No. 40,661

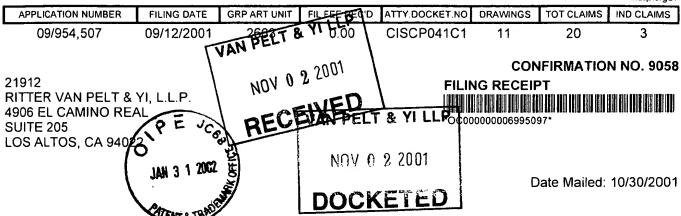
4906 El Camino Real Suite 205 Los Altos, CA 94022 (650) 903-3500



### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Richard A. Howes, Roswell, GA; Edward C. Kersey, Athens, GA; Bruce F. Wong, Athens, GA; James A. Jordan, Lawrenceville, GA; William M. Leblanc, Athens, GA; Andrew L. Foss, San Jose, GA;

RECEIVED

FEB 1 3 2002

**Technology Center 2600** 

#### Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/107,261 06/30/1998 WHICH IS A CIP OF 08/850,248 01/25/1999 PAT 6,317,775 AND A CIP OF 08/850,730 05/02/1997 PAT 6,061,349 AND A CIP OF 08/850,836 05/02/1997 PAT 6,104,717 AND A CIP OF 08/918,024 08/25/1997 PAT 6,108,300 AND A CIP OF 08/920,211 08/25/1997 PAT 5,989,060

#### **Foreign Applications**

If Required, Foreign Filing License Granted 10/29/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Method and apparatus for maintaining connection state between a connection manager and a failover device

**Preliminary Class** 

370

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

RECEIVED

FEB 1 3 2002

Technology Center 2600

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

# **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).